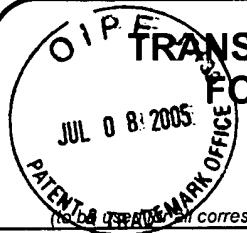
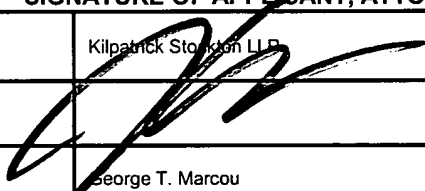


Ifw

	Application Number	10/813,521	
	Filing Date	March 29, 2004	
	First Named Inventor	Thomas K. MELLINA	
	Art Unit	3624	
	Examiner Name	not yet assigned	
Total Number of Pages in This Submission	34	Attorney Docket Number	SSB0003

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<div style="border: 1px solid black; padding: 5px;"> <b>Remarks</b>    </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Kilpatrick Stockton LLP		
Signature			
Printed Name	George T. Marcou		
Date	7/2/05	Reg. No.	33,014

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name		Date	

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Serial No. 10/813,521  
Art Unit: 3624



Docket No: SSB0003  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Thomas K. MELLINA

Serial No.: 10/813,521

Art Unit: 3624

Filed: March 29, 2004

Examiner: not yet assigned

Title: METHOD AND SYSTEM FOR EFFICIENTLY MATCHING LONG AND  
SHORT POSITIONS IN SECURITIES TRADING AND TRANSACTING A  
SERIES OF OVERNIGHT TRADES FOR BALANCE SHEET NETTING

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R §§ 1.97 AND 1.98**

United States Patent and Trademark Office  
Customer Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, the undersigned representative hereby brings to the attention of the Examiner the references noted on the attached Form PTO/SB/08.

The Examiner is respectfully requested to initial in the space adjacent to the listing on Form PTO/SB/08, and return a copy of the initialed Form PTO/SB/08 with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this application. As this application was filed after June 30, 2003, and in accordance with the directive of July 11, 2003 by the Deputy Commissioner for Patent Examination Policy, copies of the cited U.S. Patents are not provided with this filing. Copies of the cited non-patent literature is included herein.

Since this Information Disclosure Statement is being filed before receipt of the first Office Action, no fee is believed due. If any fees are due, the Commissioner is hereby authorized to charge any such fees under 37 C.F.R. §§ 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 501458.

Serial No. 10/813,521  
Art Unit: 3624

Docket No: SSB0003  
PATENT

The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) regarding the PTO's duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Date: July 8, 2005  
KILPATRICK STOCKTON LLP  
607 14<sup>th</sup> Street, NW  
Suite 900  
Washington, D.C. 20005  
(202) 508-5800 (telephone)  
(202) 508-5858 (fax)

Respectfully Submitted,

By: 

George T. Marcou  
Reg. No. 33,014

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

<i>Application Number</i>	10/813,521
<i>Filing Date</i>	March 29, 2004
<i>First Named Inventor</i>	Thomas K. MELLINA
<i>Art Unit</i>	3624
<i>Examiner Name</i>	not yet assigned
<i>Attorney Docket Number</i>	SSB0003

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/813,521
Filing Date	March 29, 2004
First Named Inventor	Thomas K. MELLINA
Art Unit	3624
Examiner Name	not yet assigned
Attorney Docket Number	SSB0003

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Governmental Securities Clearing Corporation web pages, retrieved from the internet on April 30, 2002 at URLs: http://www.gsccl.com/about_gsccl.html http://www.gsccl.com/about_gsccl_navigation.html http://www.gsccl.com/gsccl_logo.html http://www.gsccl.com/services.html http://www.gsccl.com/services_navigation.html http://www.gsccl.com/services_for_repurchase_agreements.html http://www.gsccl.com/netting_and_settlement.html http://www.gsccl.com/trade_comparison.html	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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